

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE APPLICATION OF : David Ryan  
 FOR : A COMPUTER SYSTEM AND METHODS  
 THEREFOR  
 SERIAL NO. : Not Yet Assigned  
 FILED : April 13, 2006 (filed herewith)  
 CONFIRMATION NO. : Not Yet Assigned  
 EXAMINER : Not Yet Assigned  
 ART UNIT : Not Yet Assigned  
 ATTORNEY DOCKET NO. : SM9308PCT(US)

## MAIL STOP PCT

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached form PTO-1449. The required copy of each document is enclosed *except for* documents previously cited by or submitted to the Office in a prior application(s) relied upon for an earlier filing date under 35 U.S.C. 120, said prior application(s) identified below:

Serial No.Filing Date

2. Pursuant to 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made.

3. Pursuant to 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not be construed as an admission that the information cited in this Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b).

4. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

- (i) Copy of each English language version of a search report by a foreign patent office in a counterpart foreign application, indicating the degree of relevance found by the foreign office of each document being submitted from the search report.

- ☐ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents."
- ☐ English-language abstract.
- ☒ 5. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed:
  - ☒ concurrently with the filing of the national application; or
  - ☐ within 3 months of the filing date of a national application; or
  - ☐ within 3 months of the date of the entry of the national stage as set forth in 37 CFR 1.491 in an international application; or
  - ☐ before the mailing date of a first Office Action on the merits.

{NOTE: Statements filed within the foregoing periods require neither a fee nor a Certification}
- ☐ 6. Pursuant to 37 C.F.R. 1.97(c) this Statement is being filed *after* the mailing date of a first Office Action on the merits but *before* the mailing date of either: a Final Office Action under 37 C.F.R. 1.113 or a Notice of Allowance under 37 C.F.R. 1.311, whichever occurs first. Accordingly, this Statement is accompanied by:
  - ☐ Certification as specified in 37 C.F.R. 1.97(e); OR
  - ☐ The submission fee set forth in 37 C.F.R. 1.17(p). Enclosed is Form PTO-2038 authorizing the amount of \$180 to be charged to our credit card to cover the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement.
- ☐ 7. Pursuant to 37 C.F.R. 1.97(d) this Statement is being filed *after* the period specified in 37 C.F.R. 1.97(c), but *before or concurrent with* payment of the Issue Fee. Accordingly, this Statement is accompanied by:
  - A. Certification as specified in 37 C.F.R. 1.97(e); AND
  - B. The submission fee set forth in 37 C.F.R. 1.17(p). Enclosed is Form PTO-2038 authorizing the amount of \$180 to be charged to our credit card to cover the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement.
- ☐ 8. Statement filed *after* payment of Issue Fee, but *before* patent grant:

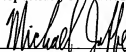
This Statement is being filed *after* payment of the Issue Fee, but *before* grant of the patent; accordingly it is understood that this Statement will *not* be considered. However, it is respectfully requested that this Information Disclosure Statement be placed in the application file. {NOTE: No fees or certification required}

IAP9 Rec'd PCT/PTO 13 APR 2006

- ( ) 9. CERTIFICATION under 37 C.F.R. 1.97 (e) (if applicable):
- ( ) The undersigned hereby certifies that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Information Disclosure Statement, OR
- ( ) The undersigned hereby certifies that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Information Disclosure Statement.

The Commissioner is hereby authorized to charge any additional fees required for consideration of this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 50-0537, identifying our Docket No. SM9308PCT(US)US.

Respectfully submitted,



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Date: April 13, 2006

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited with the United States Postal Service on April 13, 2006, in an envelope addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 as "Express Mail Post Office to Addressee" Mailing Label No. EQ 421925736 US

Date: April 13, 2006

  
Laura K. Cahill

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Form PTO-1449

U.S. Application No.: Not Yet Assigned

Attorney Docket No.: SM9308PCT(US)

IDS Filed: April 13, 2006

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INFORMATION DISCLOSURE CITATION

In re application of: David Ryan

Confirmation No.: Not Yet Assigned

Serial No.: Not Yet Assigned

Group No.: Not Yet Assigned

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Examiner: Not Yet Assigned

For: A COMPUTER SYSTEM AND METHODS THEREFOR

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U.S. Patent Documents

Examiner Initials	Document Number	Date	Name	Class
/M.H./	6,421,733	July 16, 2002	Tso et al.	709/246
/M.H./	6,578,193	June 10, 2003	Adams	717/139
/M.H./	2003/0009539	January 9, 2003	Hattori	709/219
/M.H./	2003/0140180	July 24, 2003	Brown et al.	709/330

Foreign Patent Documents

Examiner Initials	Document Number	Country	Date of Publication	Class	Translation (Yes/No)
/M.H./	0 766 172	Europe	February 4, 1997	G06F 9/46	Yes
/M.H./	1998/006034	WIPO	February 12, 1998	G06F 9/45	Yes

Examiner:

Date Considered:

/Mariegeorges Henry/

08/28/2008

**\*\*Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.